

Docket No.: 37998-237368

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Heinz Von Der Kammer Art Unit: Not Yet Assigned

Application No: 10/596,627 Examiner: Not Yet Assigned

Confirmation No: 8551

Filed: June 19, 2006 Atty. Docket No: 37998-237368

Customer No:

For: DIAGNOSTIC AND THERAPEUTIC USE

OF THE HUMAN HIF3ALPHA GENE

AND PROTEINS FOR

NEURODEGENERATIVE DISEASES

26694
PATENT TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Application No.: 10/596,627 Docket No.: 37998-237368

In order to comply the duty of disclosure pursuant to 37 C.F.R. § 1.56, submitted

herewith is a form listing the documents cited in the International Search Report of International

Application No. PCT/EP2004/053573. The relevance of each document is indicated in the

International Search Report.

Copies of the documents are not being provided since copies are furnished directly by

WIPO under an exchange program between the PTO, the EPO and the JPO.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement

shall not be construed to mean that a search has been made or that no other material information as

defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information

Disclosure Statement shall not be construed to be an admission that any patent, publication or other

information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR

1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to

be filed or which should have been filed herewith (or with any paper hereafter filed in this

application by this firm) to our Deposit Account No. 22-0261, under Order No. 37998-237368.

Dated: April 9, 2007

Respectfully submitted,

By Levite B. Leppy Kavita B. Lepping

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Sut	ostitute for form 1449/PTO			Complete if Known		
				Application Number	10/596,627	
11	NFORMATION	I DI	SCLOSURE	Filing Date	June 19, 2006	
S	TATEMENT B	3Y /	APPLICANT	First Named Inventor	Heinz Von Der Kammer	
				Art Unit	Not Yet Assigned	
	(Use as many sh	eets as	s necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	37998-237368	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
					1

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	CA	Yuichi Makino et al; "INHIBITORY PAS DOMAIN PROTEIN (IPAS) IS A HYPOXIA-INDUCIBLE SPLICING VARIANT OF HYPOXIA-INDUCIBLE FACTOR-3 ALPHA LOCUS," Journal of Biological Chemistry, American Society of Biological Chemists, Vol. 277, No. 36, September 9, 2002, pages 32405-32408.				
	СВ	Hara et al, "EXPRESSION AND CHARACTERIZATION OF HYPOXIA-INDUCIBLE FACTOR (HIF)-3 ALPHA IN HUMAN KIDNEY; SUPPRESSION OF HIF-MEDIATED GENE EXPRESSION BY HIF-3 ALPHA," Biochemical and Biophysical Research Communications, Vol. 287, October 5, 2001, pages 808-813.				
	CC	Bazan et al, "HYPOXIA SIGNALING TO GENES SIGNIFICANCE IN ALZHEIMER'S DISEASE," Molecular Neurobiology, Vol. 26, No. 2/3, October 10, 2002, pages 283-298.				
	CD	Gu et al, "MOLECULAR CHARACTERIZATION AND CHROMOSOMAL LOCALIZATION OF A THRID ALPHA-CLASS HYPOXIA INDUCIBLE FACTOR SUBUNIT, HIF3 ALPHA," Gene Expression, Vol. 7, 1998, pages 205-213.				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English tanguage Translation is attached. #834178